(2 Data Items)

DD FORM 1423-2, AUG 96

Form Approved OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 220 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information including suggestions for reducing the burden, to Departer of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 2202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. Please DO NOT RETURN your form to the above address. Send completed form to the Government Issuing Contracting Officer for the Contract/PR No. Issed in Block E.

A. CONTRACT L	INE ITEM NO.	B. EXHII	зіт	C. CATEGORY:						
D. SYSTEM/ITEM	м		E. CONTRAC		W GON	TRACTOR				
	echnical Services		2. 55	.,,						
1. DATA ITEM NO.	2. TITLE OF DATA ITEM	1	II		3. SUBTIT	LE				17. PRICE GROUP
A001	Scientific and To	echnical l	Reports							
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Block 14: Tecl	hnical Point of co	ntact as s	pecified in eac	ch delivery order.						
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A002	Scientific and To	echnical l	Reports		Final R	eport				
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(2 Data Items)

DD FORM 1423-2, AUG 96

Form Approved OMB No. 0704-0188

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Form Approved OMB No. 0704-0188

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A. CONTRACT I	INE ITEM NO.	B. EXHIE	BIT	C. CATEGORY:	OTh	IED					
D. SYSTEM/ITE			E. CONTRACT			RACTOR					
	ng Technical Servi										
1. DATA ITEM NO.	2. TITLE OF DATA ITEM	-			3. SUBTITE						17. PRICE GROUP
A005	Conceptual Designation	gn Drawi	ngs and Assoc	iated Lists	IGES F	ormat					
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DD FORM 1423-1, FEB 2001

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A. CONTRACT I	INE ITEM NO.	B. EXHIE	BIT	C. CATEGORY:	ATO.	4FR				
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DD FORM 1423-1, FEB 2001

Form Approved OMB No. 0704-0188

17. PRICE GROUP

18. ESTIMATED TOTAL PRICE

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A. CONTRACT LINE ITEM NO.		B. EXHIBIT		C. CATEGORY:  TDP TM OTHER									
D. SYSTEM/ITEN Engineerin	M ng Technical Servi	ices	E. CONTRACT	1		TRACTOR							
I. DATA ITEM NO.	2. TITLE OF DATA ITEM				3. SUBTIT	3. SUBTITLE							
A008	Conceptual Desi	gn Draw	ings and Assoc	ciated Lists		ER Format							
	Acquisition Document No 81001 (SEE BLK	-	5. CONTRACT REP	FERENCE		6. REQUIRING OFFICE TASKING OFFICE							
. DD 250 REQ	9. DIST STATEMENT	10. FREQU	ENCY	12. DATE OF FIRST SUE	BMISSION	14. DIST	DISTRIBUTION						
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A. CONTRACT I	INE ITEM NO.	B. EXHII	BIT	C. CATEGORY:	OTH	4FR				
D. SYSTEM/ITEI	<b>vi</b> ng Technical Serv	ices	E. CONTRACT			TRACTOR				***************************************
1. DATA ITEM NO.	2. TITLE OF DATA ITEM		1		3. SUBTITI	LE				17. PRICE GROUP
A008	Conceptual Desi	gn Draw	ings and Assoc	ciated Lists	RASTE	ER Format				
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Block 10: See	individual deliver	y order a	s applicable							***
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Form Approved OMB No. 0704-0188

17. PRICE GROUP

18. ESTIMATED TOTAL PRICE

The public reporting burden for this collection of information is estimated to average 110 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0701-0188), 1215 Tefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that network the provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently Volume of the Contract/PR No. listed in Block E.

	INE ITEM NO.	B. EXHIE	) i	C. CATEGORY:  TDP TM	ОТІ	4FR						
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	ng Technical Servi											
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A009	Developmental I	Design D	rawings and As	ssociated Lists	RASTE	ER Format						
	Acquisition Document No 81002 (SEE BLK		5. CONTRACT REF	ERENCE		6. REQUIRING OFFICE TASKING	G OFFI	CE				
7. DD 250 REQ	9. DIST STATEMENT	10. FREQU	ENCY	12. DATE OF FIRST SUE	MISSION		IBUTION					
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8. APP CODE	SEE BLK 16	11. AS OF	date N/A	13. DATE OF SUBSEQUE SUBMISSION SEE BLK		a. ADDRESSEE	Draft	Final Reg F	Repro			
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DD FORM 1423-1, FEB 2001

Form Approved OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 110 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0701-07188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. Please DO NOT RETURN your form to the above address. Send completed form to the Government Issuing Contracting Officer for the Contract/PR No. listed in Block E.

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# DEPARTMENT OF DEFENSE CONTRACT SECURITY CLASSIFICATION SPECIFICATION

(The requirements of the DoD Industrial Security Manual apply

1. CLEARANCE AND SAFEGUARDING

FACILITY CLEARANCE REQUIRED

**SECRET** 

	to all security aspects	of this effort.)	···· 477				b. LEVEL OF &	AFEGUARDING REQUIRED			
								SECRE			
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	b. &UBCONTRACT NUMBER					b. REV (8u) pre	ISED persedes all vious spees)	REVISION NO.	DATE (YYYYMM	TDD)	
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## CONTRACT CLAUSE FOR PROTECTION OF NAVAL NUCLEAR PROPULSION INFORMATION

During the performance of this contract Naval Nuclear Propulsion Information (NNPI) may be developed or used. Naval Nuclear Propulsion Information is defined as that information and/or hardware concerning the design, arrangement, development, manufacturing, testing, operation, administration, training, maintenance, and repair of the propulsion plants of Naval Nuclear Powered Ships including the associated shipboard and shore-based nuclear support facilities. Appropriate safeguarding must be proposed by the contractor and approved by the Contracting Officer for Security for the safequarding from actual, potential or inadvertent release by the contractor, or any subcontractor, of any Naval Nuclear Propulsion Information in any form, classified or unclassified Such safeguards shall ensure that only governmental and contractor parties, including subcontractors, that have an established need-to-know, have access in order to perform work under this contract, and then only under conditions which assure that the information is properly protected. Access by foreign nationals or immigrant aliens is not permitted. A foreign national or immigrant alien is defined as a person not a United States citizen or a United States national. <u>United States</u> citizens representing a foreign government, foreign private interests or other foreign nationals, are considered to be foreign nationals for industrial security purposes and the purpose of this restriction. In addition, any and all issue or release of such information beyond such necessary parties, whether or not ordered through an administrative or judicial tribunal, shall be brought to the attention of the Contracting Officer for Security.

The Contracting Officer for Security shall be immediately notified of any litigation, subpoenas, or requests which either seek or may result in the release of Naval Nuclear Propulsion Information.

In the event that a court or administrative order makes immediate review by the Contracting Officer for Security impractical, the contractor agrees to take all necessary steps to notify the court or administrative body of the Navy's interest in controlling the release of such information through review and concurrence in any release.

The Contracting Agency reserves the right to audit contractor facilities for compliance with the above restrictions.

Exceptions to these requirements may only be obtained with prior approval from the Commander, Naval Sea Systems Command (O9T), Washington, DC.

#### EXHIBIT SA

### PROCEDURES FOR ASSIGNING DISTRIBUTION STATEMENTS ON TECHNICAL DOCUMENTS

- Newly generated unclassified technical documents shall be assigned Distribution Statements A, B, C, D, E, P, or X. If not already in the public domain and are likely to be disseminated outside the DoD, existing unclassified technical decements shall be assigned Distribution Statements A, B, C, D, E, F, or X.
  - 2. Technical documents in preliminary or working draft form shall not be disseminated without a proper security classification review and assignment of a distribution statement.
  - 3. Classified technical documents shall be assigned Distribution Statements B, C, D, E, or F. The distribution statement assigned to a classified document shall be retained on the document after declassification or until specifically changed or removed by the originating command. If a technical document without a distribution statement is declassified, it shall be handled as a Distribution Statement F document until otherwise notified by the originating command.
- 4. If a newly generated technical document contains export
  " controlled technical data, it shall be marked with the statement

  in paragraph 1 under "ADDITIONAL NOTICES," in addition to

  Distribution Statements B, C, D, E, F, or X.
  - 5. Scientific and technical documents which include a contractor-imposed "limited rights" statement shall be appropriately marked and controlled (see "CONTRACTOR-IMPOSED DISTRIBUTION LIMITATIONS" below).
  - 6. The distribution statement shall be displayed conspicuously so it is readily recognized by recipients. For standard written or printed material, the distribution statement shall appear on the face of the document, title page, and DD 1473, "Report Documentation Page." When possible, parts that contain information creating the requirement for the distribution statement shall be prepared as an appendix to permit broader distribution of the basic document. When practicable, the abstract of the document, the DD 1473, and bibliographic citations shall be written in such a way that the information shall not be subject to Distribution Statements B, C, D, E, F, or X. If the technical information is not in standard written or printed form and does not have a cover or title page, the

SECNAVINST 5510.36

distribution statement shall be conspicuously stamped, printed, or written by other means.

- 7. Distribution statements remain in effect until changed or removed by the originating command. Each command shall establish and maintain a procedure for review of technical documents for which it is responsible, with the objective of increasing their availability as soon as conditions permit. Public release determinations shall be processed per DoD Instruction 5230.29 of 6 May 1996 (NOTAL). When public release clearance is obtained, Distribution Statement A shall be assigned and document handling facilities, including the Defense Technical Information Center (DTIC), shall be notified.
- 8. Technical documents with superseded distribution limitation markings shall be reviewed and assigned the appropriate distribution statement when a request for the document is received. Superseded distribution limitation markings shall be converted as follows:
- a. Documents with distribution marking A or B need not be reevaluated or remarked.
- b. Documents with distribution marking #2 shall be assigned Distribution Statement C.
- C. Documents with distribution marking #3 (U.S. Government Only) shall be assigned Distribution Statement B.
- d. Documents with distribution marking #4 (DoD Only) shall be assigned Distribution Statement E.
  - \* e. Documents with distribution marking #5 (Controlled) shall be assigned Distribution Statement F.
  - 9. Originating commands shall promptly notify DTIC and other information repositories holding their technical documents when:
  - a. The address of designated originating commands is changed.
    - b. The originating command is redesignated.
  - c. Classification markings, distribution statements, or export control statements are changed.

#### DISTRIBUTION STATEMENTS

- 1. The following distribution statements are authorized for use on technical documents:
- a. "DISTRIBUTION STATEMENT A: Approved for public release; distribution is unlimited."
- (1) This statement shall be used only on unclassified technical documents that have been cleared for public release by competent authority per DoD Instruction 5230.29 (NOTAL) and DoD Directive 5230.9 of 9 April 1996 (NOTAL).
- (2) Technical documents resulting from contracted fundamental research efforts shall normally be assigned Distribution Statement A, except for those rare and exceptional circumstances where there is a high likelihood of disclosing performance characteristics of military systems, or of manufacturing technologies that are unique and critical to defense, and agreement on this situation has been recorded in the contract or grant.
- (3) Technical documents with this statement may be made available or sold to the public including foreign nationals, companies, and governments, and may be exported.
- (4) This statement shall never be used on technical documents that formerly were classified without a positive determination of such releasability by the command exercising cognizance over the information prior to release.
- (5) This statement shall not be used on classified technical documents or documents containing export-controlled technical data as provided in OPNAVINST 5510.161 of 29 July 1985.
- b. "DISTRIBUTION STATEMENT B: Distribution authorized to U.S. Government agencies only; (fill in reason) (date): Other requests for this document shall be referred to (insert originating command)."
  - (1) This statement shall be used on unclassified or classified technical documents if necessary to ensure distribution limitation in addition to need-to-know requirements of this regulation or in the event the document is declassified.

- (2) Reasons for assigning Distribution Statement B include:
- (a) FGI To protect and limit information distribution per the desires of the foreign government that furnished the technical information. Information of this type is normally classified at the Confidential level or higher.
- (b) Proprietary Information To protect information not owned by the U.S. Government and protected by a contractor's "limited rights" statement, or received with the understanding that it may not be routinely transmitted outside the U.S. Government.
- (c) Critical Technology To protect information and technical data that advance current technology or describe new technology in an area of significant or potentially significant military application or that relate to a specific military deficiency of a potential adversary. Information of this type may be classified or unclassified; when unclassified, it is export-controlled and subject to the provisions of OPNAVINST 5510.161 of 29 July 1985.
- (d) Test and Evaluation To protect results of test and evaluation of commercial products or military hardware when disclosure may cause unfair advantage or disadvantage to the manufacturer of the product.
- (e) Contractor Performance Evaluation To protect information in management reviews, records of contract performance evaluation, or other advisory documents evaluating programs of contractors.
  - (f) Premature Dissemination To protect patentable information on systems or processes in the developmental or concept stage from premature dissemination.
  - (g) Administrative/Operational Use To protect technical or operational information from automatic dissemination under the International Exchange Program or by other means. This protection covers publications required solely for official use or strictly for administrative or operational purposes. This statement shall be applied to manuals, pamphlets, technical orders, technical reports, and other publications containing valuable technical or operational data.

- (h) Software Documentation Releasable only per the provisions of DoD Instruction 7930.2 of 31 December 1979 (NOTAL).
- (i) Specific Authority To protect information not specifically included in the above reasons and discussions, but which requires protection per valid documented authority such as E.O.s, classification guidelines, DoD or DON regulations, or policy guidance. When filling in the reason, cite "Specific Authority (identification of valid documented authority)."
- "DISTRIBUTION STATEMENT C: Distribution authorised to U.S. Government agencies and their contractors; (fill-in-reason) (date). Other requests for this document shall be referred to (insert originating command)."
- (1) May be used on unclassified or classified technical documents if necessary to ensure distribution limitation in addition to need-to-know requirements of this regulation or in the event the document is declassified.
- (2) Reasons for assigning Distribution Statement C include:
  - (a) FGI Same as Distribution Statement B.
- (b) Critical Technology Same as Distribution Statement B.
- (c) Software Documentation Same as Distribution Statement B.
- (d) Administrative or Operational Use Same as Distribution Statement B.
- (e) Specific Authority Same as Distribution Statement B.
- d. "DISTRIBUTION STATEMENT D: Distribution authorised to DoD and DoD contractors only; (fill in reason) (date). Other U.S. requests shall be referred to (insert originating command)."
- (1) May be used on unclassified or classified technical documents if necessary to ensure distribution limitation in addition to need-to-know requirements of this regulation or in the event the document is declassified.

- (2) Reasons for assigning Distribution Statement D include:
  - (a) FGI Same as Distribution Statement B.
- (b) Administrative or Operational Use Same as Distribution Statement B.
- (c) Software Documentation Same as Distribution Statement B.
- (d) Critical Technology Same as Distribution Statement B.
- (e) Specific Authority Same as Distribution Statement B.
- e. \*DISTRIBUTION STATEMENT E; Distribution authorized to DoD Components only; (fill in reason) (date). Other requests shall be referred to (insert originating command).
- (1) May be used on unclassified or classified technical documents if necessary to ensure distribution limitation in addition to need-to-know requirements of this regulation or in the event the document is declassified.
- (2) Reasons for assigning Distribution Statement E include:
- (a) Direct Military Support Document contains export-controlled technical data of such military significance that release for purposes other than direct support of DoD-approved activities may jeopardize an important technological or operational military advantage of the U.S. Designation of such data is made by competent authority per OPNAVINST 5510.161 of 29 July 1985.
  - (b) FGI Same as Distribution Statement B.
  - (c) Proprietary Information Same as Distribution Statement B.
  - (d) Premature Dissemination Same as Distribution Statement B.
  - (e) Test and Evaluation Same as Distribution Statement B.

- (f) Software Documentation Same as Distribution Statement B.
- (g) Contractor Performance and Evaluation Same as Distribution Statement B.
- (h) Critical Technology Same as Distribution Statement B.
- (i) Administrative/Operational Use Same as Distribution Statement B.
- (j) Specific Authority Same as Distribution Statement B.
- f. "DISTRIBUTION STATEMENT F: Further dissemination only as directed by (insert originating command) (date) or higher DoD authority."
- (1) Normally used only on classified technical documents, but may be used on unclassified technical documents when specific authority exists.
- (2) Distribution Statement F is used when the originator determines that the information is subject to the special dissemination limitation specified in chapter 6, paragraph 6-11.3a.
- (3) When a classified document assigned Distribution Statement F is declassified, the statement shall be retained until specifically changed or removed by the originating command.
- g. "DISTRIBUTION STATEMENT X: Distribution authorized to U.S. Government agencies and private individuals or enterprises eligible to obtain export-controlled technical data in accordance with OPNAVINST 5510.161. Other requests shall be referred to (originating command)."
  - (1) This statement shall be used on unclassified documents when Distribution Statements B, C, D, E, or F are not applicable but the document contains technical data per OPNAVINST 5510.161 of 29 July 1985.
  - (2) This statement shall not be used on classified technical documents. It may be assigned to technical documents that formerly were classified.

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#### ADDITIONAL NOTICES

- 1. In addition to the distribution statement, the following notices shall be used when appropriate:
- a. All technical documents determined to contain exportcontrolled technical data shall be marked "WARNING This
  document contains technical data whose export is restricted by
  the Arms Export Control Act (Title 22, U.S.C. Sec. 2751 et seq.)
  or the Export Administration Act of 1979, as amended, Title 50,
  U.S.C., App 2401, et seq. Violations of these export laws are
  subject to severe criminal penalties. Disseminate per the
  provisions of OPNAVINST 5510.161." When it is technically
  impracticable to use the entire statement, an abbreviated marking
  shall be used, and a copy of the full statement added to the
  "Notice To Accompany Release of Export Controlled Data" required
  by OPNAVINST 5510.161 of 29 July 1985.
- 2. Unclassified/Limited Distribution documents shall be handled using the same standard as FOUO information, and shall be destroyed by any method that will prevent disclosure of contents or reconstruction of the document. When local circumstances or experience indicate that this destruction method is not sufficiently protective of unclassified limited information, local authorities may prescribe other methods but must give due consideration to the additional expense balanced against the degree of sensitivity.

### CONTRACTOR IMPOSED DISTRIBUTION LIMITATIONS

- 1. Contractors may have proprietary technical data to which the U.S. Government is given limited rights. The contractor shall place a limited rights statement on each document containing contractor controlled technical data furnished to the U.S. Government. Documents with limited rights information shall be assigned Distribution Statements B, E, or F.
  - 2. Limited rights is defined as the right to use, duplicate, or disclose technical data in whole or in part, by or for the U.S. Government, with the express limitation that such technical data, without the written permission of the party furnishing the technical data, shall not be:
  - a. Released or disclosed in whole or in part outside the U.S. Government.

- b. Used in whole or in part by the U.S. Government for manufacture, or in the case of computer software documentation, for reproduction of the computer software.
- c. Used by a party other than the U.S. Government, except for:
- (1) Emergency repair or overhaul work only by or for the U.S. Government, when the item or process concerned is not otherwise reasonably available to enable timely performance of the work, provided that the release or disclosure outside the U.S. Government will be made subject to a prohibition against further use, release, or disclosure; or
- (2) Release to a foreign government, as the interest of the U.S. Government may require, only for information or evaluation within the foreign government or for emergency repair or overhaul work by or for the foreign government under the conditions of subparagraph (1) above.
- 3. The limited rights statement remains in effect until changed or cancelled under contract terms or with the permission of the contractor and the controlling office notifies recipients of the document that the statement has been changed or cancelled. Upon cancellation of the limited rights statement, the distribution, disclosure, or release of the technical document will then be controlled by its security classification or, if it is unclassified, by the appropriate distribution statement.